

# FCA CORP

791 Town & Country Blvd #250 | Houston, TX 77024

Website | [www.fcacorp.com](http://www.fcacorp.com)

Phone | (713) 781-2856

Fax | (713) 781-4923

## **FORM ADV PART 2B BROCHURE SUPPLEMENT**

### **CRD No. 110658**

The Securities and Exchange Commission (“SEC”) now requires disclosures about certain people providing advice to you. FCA Corp (“FCA”) has prepared this Brochure Supplement for the purpose of providing information about the qualifications and background of the supervised persons working with you or on your behalf or who may otherwise participate in the advisory services provided to you.

This Supplement provides information regarding the following employees:

1. Robert W. Scharar
2. Wesley Yuhnke
3. Robert Burns
4. William LeVay
5. Cindy Yac
6. Christina Scharar Doherty
7. Ryan Scharar
8. Casey Kha
9. Brandon Williams

**Table of Contents**

1. Robert W. Scharar .....	3
2. Wesley Yuhnke .....	5
3. Robert Burns .....	7
4. William LeVay .....	9
5. Cindy Yac .....	10
6. Christina Scharar Doherty .....	12
7. Ryan Scharar .....	14
8. Casey Kha .....	17
9. Brandon Williams.....	19

**ITEM 1. SUPERVISED PERSON: ROBERT W. SCHARAR**

*President and Chief Executive Officer (“CEO”)*

791 Town & Country Blvd #250 | Houston, TX 77024

Phone | (713) 781-2856

Fax | (713) 781-4923

This Brochure Supplement provides information about the abovementioned individual that supplements the FCA Corp Brochure. You should have received a copy of that brochure. Please contact FCA's Chief Compliance Officer, William LeVay, at (713) 781-2856 if you did not receive FCA's Brochure or if you have any questions about the content of this supplement.

Additional information about the abovementioned individual is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**ITEM 2. EDUCATIONAL BACKGROUND | BUSINESS EXPERIENCE:**

*Robert W. Scharar*

*Born: 1948*

**EDUCATION:**

- Graduated from Polk Junior College with an Associate of Arts in Accounting in 1968
- Graduated from the University of Florida with a Bachelor of Science in Accounting in 1970
- Graduated from Northeastern University with a Master of Business Administration in 1971
- Graduated from Northeastern University Law School with a Juris Doctorate in 1974
- Graduated from Boston University School of Law with a Master of Law (LL.M.) in Taxation in 1979

**RECENT EMPLOYMENT HISTORY:**

- President, FCA Corp from 05/1984 to present

**PROFESSIONAL DESIGNATIONS:**

- Certified Public Accountant (CPA), Florida, 1971
- Member of the Massachusetts bar (admitted 1975) and Florida bar (admitted 1976)

Mr. Scharar is licensed as a Certified Public Accountant (CPA) in the state of Florida and an attorney licensed to practice law in the states of Florida and Massachusetts. However, Mr. Scharar does not currently maintain a separate professional practice as a CPA or as an attorney.

**ITEM 3. DISCIPLINARY INFORMATION:**

No disclosure required for ADV 2

**ITEM 4. OTHER BUSINESS ACTIVITIES:**

Mr. Scharar is an officer, director, and/or manager of the following entities:

- Director/President, First Commonwealth Holdings Corp., from 09/1999 to present (It is the parent of FCA Corp.)
- Director Paratransit Corp Insurance Company from 2019 to present. The time spent is not substantial for this entity.

Mr. Scharar spends a substantial amount of time providing services serves as an officer director and or manager to the following client entities;

- Africap, LLC, and subsidiary companies:
  - Director, Blantyre Hotels Ltd., from 1999 to present
    - Director, Oasis Hospitality Ltd., from 2019 to present
  - Director, Oasis Teranga Group SA, from 2018 to present
  - Director, Nico Holdings Ltd., from 2001 to present
  - Director, Nico Capital Corp from 2020 to present
- Commonwealth International Series Trust
- First Commonwealth Mortgage Trust
- Holly Mortgage Trust
- AREIF GP, LLC

**ITEM 5. ADDITIONAL COMPENSATION:**

Mr. Scharar does not receive separate compensation from the entities listed in **Item 4** other than Paratransit which pays a non-substantial annual stipend and a meeting fee. Any Director fees earned by Mr. Scharar from the Africap, LLC, subsidiary companies listed in **Item 4**, are paid directly to Africap, LLC.

**ITEM 6. SUPERVISION:**

In addition to his role as an officer of FCA, Mr. Scharar provides advisory services to clients. Mr. Scharar provides this service in various roles such as: portfolio

manager of mutual funds, officer of managed entities, and as a senior financial planner to individuals. He also serves as Chairman of the Investment Committee of FCA. The Investment Committee works as a team to evaluate certain investments for separately managed accounts. It meets periodically to review investments included on the approved list and to consider whether securities should be added or removed.

FCA has developed policies and procedures which are designed to standardize processes and internal controls for the company. Every employee is required to be responsible for preventing and reporting any activities inconsistent with the firm's Code of Ethics, procedures, policies or legal/regulatory requirements.

Although Mr. Scharar is the president of FCA, his actions are subject to review by various employees within the firm. Mr. Scharar's actions related to the managed entities are also subject to approval and/or ratification by the board of directors for the particular entity.

If you have any questions, you may contact FCA's Chief Compliance Officer, William LeVay, at (713) 781-2856.

**ITEM 1. SUPERVISED PERSON: WESLEY YUHNKE**

*Chief Investment Officer ("CIO")*

791 Town & Country Blvd #250 | Houston, TX 77024

Phone | (713) 781-2856

Fax | (713) 781-4923

This Brochure Supplement provides information about the abovementioned individual that supplements the FCA Corp Brochure. You should have received a copy of that brochure. Please contact FCA's Chief Compliance Officer, William LeVay, at (713) 781-2856 if you did not receive FCA's Brochure or if you have any questions about the content of this supplement.

Additional information about the abovementioned individual is available on the SEC website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**ITEM 2. EDUCATIONAL BACKGROUND | BUSINESS EXPERIENCE:**

*Wesley Yuhnke*

*Born: 1979*

**EDUCATION:**

- Graduated from Stephen F. Austin State University with a Bachelor of Business Administration in Finance in 2002
- Graduated *cum laude* from Texas Tech University with a Master of Business Administration (MBA) in 2003

**RECENT EMPLOYMENT HISTORY:**

- Chief Investment Officer, FCA Corp 06/2008 to present
- Assistant Portfolio Manager, FCA Corp from 05/2002 to present
- Investment Operations Manager, FCA Corp from approximately 07/2004 to 06/2008

**PROFESSIONAL DESIGNATIONS:**

None

**ITEM 3. DISCIPLINARY INFORMATION:**

None

**ITEM 4. OTHER BUSINESS ACTIVITIES:**

Mr. Yuhnke is an officer of the following entities that are clients of FCA or a related entity:

- Executive Vice President, Commonwealth International Series Trust

**ITEM 5. ADDITIONAL COMPENSATION:**

Mr. Yuhnke does not receive separate compensation for serving as an officer of other managed entities that are FCA clients.

**ITEM 6. SUPERVISION:**

Mr. Yuhnke serves as the Chief Investment Officer for FCA. In this capacity, Mr. Yuhnke coordinates investment research, trading and investment operations. He is a member of the Investment Committee. The Investment Committee works as a team to evaluate certain investments for separately managed accounts. The Investment Committee meets periodically to review securities maintained on the approved list and to consider whether securities should be added or removed.

He may also meet individually with financial planning employees and clients to discuss specific client portfolios.

FCA has developed policies and procedures which are designed to standardize processes and internal controls for the company. Every employee is required to be responsible for preventing and reporting any activities inconsistent with the firm's Code of Ethics, procedures, policies or legal/regulatory requirements.

Mr. Yuhnke is supervised by Robert W. Scharar, who can be reached at (713) 781-2856.

**ITEM 1. SUPERVISED PERSON: ROBERT BURNS**

*Director of Tax Compliance*

791 Town & Country Blvd #250 | Houston, TX 77024

phone | (713) 781-2856

fax | (713) 784-4923

This Brochure Supplement provides information about the abovementioned individual that supplements the FCA Corp Brochure. You should have received a copy of that brochure. Please contact FCA's Chief Compliance Officer, William LeVay, at (713) 781-2856 if you did not receive FCA's Brochure or if you have any questions about the content of this supplement.

Additional information about the abovementioned individual is available on the SEC website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**ITEM 2. EDUCATIONAL BACKGROUND | BUSINESS EXPERIENCE:**

*Robert Burns*

*Born: 1955*

**EDUCATION:**

- Graduated from Mississippi State University with a Bachelor of Science in Accounting in 1979

**RECENT EMPLOYMENT HISTORY**

- Director of Tax Compliance, FCA Corp from 2009 to present
- Director of Tax Services, FCA Corp from 2004 to 2019
- Vice President of Accounting Program, Gallagher Healthcare Insurance Services, Inc. from 1999 to 2003

**PROFESSIONAL DESIGNATIONS:**

- Certified Public Accountant (CPA), Texas, 1986
- Chartered Global Management Accountant (CGMA), 2012

**ITEM 3. DISCIPLINARY INFORMATION:**

None

**ITEM 4. OTHER BUSINESS ACTIVITIES:**

Mr. Burns provides limited tax preparation services to individuals that are not FCA clients.

Mr. Burns is an officer of the following entities that are clients of FCA or a related entity:

- First Commonwealth Mortgage Trust
- Holly Mortgage Trust

**ITEM 5. ADDITIONAL COMPENSATION:**

Mr. Burns does not receive separate compensation for serving as an officer of other managed entities that are FCA clients.

**ITEM 6. SUPERVISION:**

Mr. Burns provides advisory services to FCA managed entities. These services are supervised by Robert W. Scharar, who can be reached at (713) 781-2856, and are also subject to approval and/or ratification by the board of directors for the particular entity.

FCA has developed policies and procedures which are designed to standardize processes and internal controls for the company. Every employee is required to be responsible for preventing and reporting any activities inconsistent with the firm's Code of Ethics, procedures, policies or legal/regulatory requirements.



**ITEM 1. SUPERVISED PERSON: WILLIAM LeVAY**  
*Chief Compliance Officer (“CCO”) & In House-Counsel*  
791 Town & Country Blvd #250 | Houston, TX 77024  
phone | (713) 781-2856  
fax | (713) 781-4923

This Brochure Supplement provides information about the abovementioned individual that supplements the FCA Corp Brochure. You should have received a copy of that brochure. Please contact FCA's Chief Compliance Officer, William LeVay, at (713) 781-2856 if you did not receive FCA's Brochure or if you have any questions about the content of this supplement.

Additional information about the abovementioned individual is available on the SEC website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**ITEM 2. EDUCATIONAL BACKGROUND | BUSINESS EXPERIENCE:**

*William LeVay*                      *Born: 1955*

**EDUCATION:**

- Graduated from Indiana University with a Bachelor of Science in Business in 1977
- Graduated from Baylor University School of Law with a Juris Doctorate in 1981

**RECENT EMPLOYMENT HISTORY:**

- In House-Counsel, FCA Corp from 12/2008 to present
- Chief Compliance Officer, FCA Corp from 12/2012 to present

**PROFESSIONAL DESIGNATIONS:**

- Certified Public Accountant (CPA), Texas, 1982
- Member of the Texas bar (admitted 1981)

Mr. LeVay is licensed to practice law in the state of Texas. Mr. LeVay is licensed as a certified public accountant (CPA) in the state of Texas. Mr. LeVay does not currently maintain a separate professional practice as a CPA.

**ITEM 3. DISCIPLINARY INFORMATION:**

None

**ITEM 4. OTHER BUSINESS ACTIVITIES:**

William LeVay is an officer of the following entities that are clients of FCA or a related entity:

- Chief Compliance Officer (CCO), Commonwealth International Series Trust
- Secretary, First Commonwealth Mortgage Trust

**ITEM 5. ADDITIONAL COMPENSATION:**

Mr. LeVay receives compensation from his role as CCO for the Commonwealth International Series Trust. Mr. LeVay does not receive separate compensation for serving as an officer of other managed entities that are FCA clients.

**ITEM 6. SUPERVISION:**

Mr. LeVay provides advisory management services to managed entities. These services are supervised by Robert W. Scharar, who can be reached at (713) 781-2856, and are also subject to approval and/or ratification by the board of directors for the particular entity.

FCA has developed policies and procedures which are designed to standardize processes and internal controls for the company. Every employee is required to be responsible for preventing and reporting any activities inconsistent with the firm's Code of Ethics, procedures, policies or legal/regulatory requirements.

**ITEM 1. SUPERVISED PERSON: CINDY YAC**

*Financial Planner Associate*

791 Town & Country Blvd #250 | Houston, TX 77024

phone | (713) 781-2856

fax | (713) 781-4923

This Brochure Supplement provides information about the abovementioned individual that supplements the FCA Corp Brochure. You should have received a copy of that brochure. Please contact FCA's Chief Compliance Officer, William

LeVay, at (713) 781-2856 if you did not receive FCA's Brochure or if you have any questions about the content of this supplement.

Additional information about the abovementioned individual is available on the SEC website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**ITEM 2. EDUCATIONAL BACKGROUND | BUSINESS EXPERIENCE:**

*Cindy Yac*

*Born: 1990*

**EDUCATION:**

- Graduated from The University of Texas at Austin with a Bachelor of Business Administration in Finance in 2012
- Graduated from Houston Baptist University with a Master of Business Administration in 2017

**RECENT EMPLOYMENT HISTORY:**

- Financial Planner Associate, FCA Corp from 02/2016 to present
- Claims Examiner, Broadspire Services from 09/2013 to 01/2016
- Executive Team Leader, Target Corporation from 08/2012 to 06/2013

**ITEM 3. DISCIPLINARY INFORMATION:**

None

**ITEM 4. OTHER BUSINESS ACTIVITIES:**

None

**ITEM 5. ADDITIONAL COMPENSATION:**

None

**ITEM 6. SUPERVISION:**

Mrs. Yac currently serves as a Financial Planner Associate for the firm. She provides assistance to the financial planning team. The number of clients each team member is assigned will vary.

FCA has developed policies and procedures which are designed to standardize processes and internal controls for the company. Every employee is required to be

responsible for preventing and reporting any activities inconsistent with the firm's Code of Ethics, procedures, policies or legal/regulatory requirements.

Mrs. Yac is supervised by Christina Doherty, who can be reached at (713) 781-2856.

**ITEM 1. SUPERVISED PERSON: CHRISTINA SCHARAR DOHERTY**

*Tax Advisor and Strategic Planner*

791 Town & Country Blvd #250 | Houston, TX 77024

Phone | (713) 781-2856

Fax | (713) 781-4923

This Brochure Supplement provides information about the abovementioned individual that supplements the FCA Corp Brochure. You should have received a copy of that brochure. Please contact FCA's Chief Compliance Officer, William LeVay, at (713) 781-2856 if you did not receive FCA's Brochure or if you have any questions about the content of this supplement.

Additional information about the abovementioned individual is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**ITEM 2. EDUCATIONAL BACKGROUND | BUSINESS EXPERIENCE:**

*Christina Scharar Doherty Born: 1986*

**EDUCATION:**

- Graduated *magna cum laude* from Texas Christian University with a Bachelor of Business Administration in Accounting and a Bachelor of Arts in Spanish in 2008
- Graduated from Northeastern University with a Master of Science in Accounting in 2009
- Graduated *magna cum laude* from Michigan State University College of Law with a Juris Doctorate degree in 2012

**RECENT EMPLOYMENT HISTORY:**

- Wealth & Tax Advisor, FCA Corp from 01/2019 to present
- Tax Manager, Deloitte Tax LLP from 08/2016 to 01/2019
- Tax Senior, Deloitte Tax LLP from 09/2014 to 08/2016
- Tax Consultant, Deloitte Tax LLP from 08/2012 to 08/2014

**PROFESSIONAL DESIGNATIONS:**

- Certified Public Accountant (CPA), Texas, 2013
- Member of the Texas bar (admitted 2012)

Mrs. Doherty is licensed as a Certified Public Accountant (CPA) in the state of Texas and an attorney licensed to practice law in the state of Texas. However, Mrs. Doherty does not currently maintain a separate professional practice as a CPA or as an attorney.

**ITEM 3. DISCIPLINARY INFORMATION:**

None

**ITEM 4. OTHER BUSINESS ACTIVITIES:**

Mrs. Doherty is an officer of the following entities:

- Treasurer, First Commonwealth Holdings Corp. (parent company of FCA Corp) from 8/2019 to present
- Treasurer, United Investments Inc., from 2012 to present (family entity unpaid positions )
- Clerk, Trans Union Industries, Inc., from 2010 to present (family entity unpaid positions)

Activity in the positions listed above is infrequent in nature and does not require a substantial amount of time.

**ITEM 5. ADDITIONAL COMPENSATION:**

None of the positions listed in Item 4 are paid positions.

**ITEM 6. SUPERVISION:**

Mrs. Doherty currently serves as a Tax Advisor and Strategic Planner for the firm. She provides financial planning services to assigned clients. The number of clients each team member is assigned will vary.

FCA has developed policies and procedures which are designed to standardize processes and internal controls for the company. Every employee is required to be responsible for preventing and reporting any activities inconsistent with the firm's Code of Ethics, procedures, policies or legal/regulatory requirements.

Mrs. Doherty is supervised by Robert W. Scharar, who can be reached at (713) 781-2856.

**ITEM 1. SUPERVISED PERSON: RYAN E. SCHARAR**

*Tax Director & Strategic Planner  
791 Town & Country Blvd #250 | Houston, TX 77024  
Phone | (713) 781-2856*

This Brochure Supplement provides information about the abovementioned individual that supplements the FCA Corp Brochure. You should have received a copy of that brochure. Please contact FCA's Chief Compliance Officer, William LeVay, at (713) 781-2856 if you did not receive FCA's Brochure or if you have any questions about the content of this supplement.

Additional information about the abovementioned individual is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**ITEM 2. EDUCATIONAL BACKGROUND | BUSINESS EXPERIENCE:**

*Ryan Edward Scharar Born: 1980*

**EDUCATION:**

- Graduated from Stephen F. Austin State University with a Bachelor of Business Administration in Accounting in 2002.

- Graduated from Baylor University with a Master of Business Administration in 2003.
- Graduated *cum laude* from Michigan State University College of Law with a Juris Doctorate degree in 2009.

**RECENT EMPLOYMENT HISTORY:**

- Tax Director & Strategic Planner, FCA Corp from 01/2021 to present
- President, Scharar Law Firm, PC from 08/2015 to present
- Sole Proprietor, Ryan E. Scharar, CPA from 04/2014 to 12/2019
- Associate Attorney, Anthony & Middlebrook, P.C. from 05/2012 to 04/2014
- Associate Attorney, Brackett & Ellis, P.C. from 09/2009 to 04/2012

**PROFESSIONAL DESIGNATIONS:**

- Certified Public Accountant (CPA), Texas, 2005
- Member of the Texas bar (admitted 2009)

Ryan Scharar is licensed as a Certified Public Accountant (CPA) in the state of Texas and an attorney licensed to practice law in the state of Texas. Mr. Scharar currently maintains a separate professional practice as an attorney.

**ITEM 3. DISCIPLINARY INFORMATION:**

None

**ITEM 4. OTHER BUSINESS ACTIVITIES:**

Ryan Scharar is an officer, director, and/or manager of the following entities where time spent is not substantial:

- Secretary, First Commonwealth Holdings Corp, from 2016 to present (parent company of FCA Corp)
- Treasurer, Trans Union Industries Inc., from 2001 to present (Family entity)
- Assistant Secretary, United Investments Inc., from 2012 to present ( Family entity)
- Director, The Financial Advisory Group, Inc., 2022 to present.

Ryan Scharar is an officer of the following FCA client entities spending not insubstantial amount of time:

- Vice President, Africap, LLC from 2018 to present and subsidiaries:
  - Director, Nico Holdings Ltd., from 2016 to present
  - Director, Nico Life Insurance Company Limited, from 2016 to present
  - Director, Nico Asset Managers Limited, from 2016 to present
- President, Scharar Law Firm, PC, from 2015 to present

**ITEM 5. ADDITIONAL COMPENSATION:**

Ryan Scharar does not receive separate compensation from the entities listed in **Item 4** other than from serving as Director of The Financial Advisory Group, Inc which pays a non-substantial stipend and meeting fees. Ryan also received compensation from his work and as President of the Scharar Law Firm which may not be non-substantial. Any fees from Ryan Scharar's services as a director of Nico Holdings Ltd. Nico Capital Corp, and Blantyre Hotels Ltd., are paid directly to Africap, LLC.

**ITEM 6. SUPERVISION:**

Ryan Scharar serves as a Tax Director & Strategic Planner for the firm. He provides tax compliance and wealth management services to assigned clients. The number of clients each team member is assigned will vary.

FCA has developed policies and procedures which are designed to standardize processes and internal controls for the company. Every employee is required to be responsible for preventing and reporting any activities inconsistent with the firm's Code of Ethics, procedures, policies or legal/regulatory requirements.

Ryan Scharar is supervised by Robert W. Scharar, who can be reached at (713) 781-2856.



**ITEM 1. SUPERVISED PERSON: CASEY KHA**

*Financial Planner*

791 Town & Country Blvd #250 | Houston, TX 77024

Phone | (817) 912-5591

Fax | (713) 583-3768

This Brochure Supplement provides information about the abovementioned individual that supplements the FCA Corp Brochure. You should have received a copy of that brochure. Please contact FCA's Chief Compliance Officer, William LeVay, at (713) 781-2856 if you did not receive FCA's Brochure or if you have any questions about the content of this supplement.

Additional information about the abovementioned individual is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**ITEM 2. EDUCATIONAL BACKGROUND | BUSINESS EXPERIENCE:**

*Casey Kha*

*Born: 1983*

**EDUCATION:**

Graduated from *cum laude* from Texas Tech University with a Bachelor of Science in Personal Financial Planning in 2006.

**RECENT EMPLOYMENT HISTORY:**

- Financial Planner, FCA Corp from 01/2022 to present
- Managing Partner & Consultant, Shinjuku Station Restaurant Group from 08/2010 to 09/2023
- Financial Planner, Lincoln Financial Advisors from 01/2007 to 05/2010

**PROFESSIONAL DESIGNATIONS:**

Certified Financial Planner (CFP®), College for Financial Planning, 2009

**ITEM 3. DISCIPLINARY INFORMATION:**

None

**ITEM 4. OTHER BUSINESS ACTIVITIES:**

None

**ITEM 5. ADDITIONAL COMPENSATION:**

None

**ITEM 6. SUPERVISION:**

Mr. Kha currently serves as a Financial Planner for the firm. He provides financial planning services to assigned clients. The number of clients each team member is assigned will vary.

FCA has developed policies and procedures which are designed to standardize processes and internal controls for the company. Every employee is required to be responsible for preventing and reporting any activities inconsistent with the firm's Code of Ethics, procedures, policies or legal/regulatory requirements.

Mr. Kha is supervised by Christina Scharar Doherty, who can be reached at (713) 781-2856.

**ITEM 1. SUPERVISED PERSON: BRANDON WILLIAMS**

*Financial Planning Associate*

791 Town & Country Blvd #250 | Houston, TX 77024

Phone | (713) 260-1412

Fax | (713) 583-3768

This Brochure Supplement provides information about the abovementioned individual that supplements the FCA Corp Brochure. You should have received a copy of that brochure. Please contact FCA's Chief Compliance Officer, William LeVay, at (713) 781-2856 if you did not receive FCA's Brochure or if you have any questions about the content of this supplement.

Additional information about the abovementioned individual is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**ITEM 2. EDUCATIONAL BACKGROUND | BUSINESS EXPERIENCE:**

*Brandon Williams*

*Born: 1982*

**EDUCATION:**

Graduated from University of Calgary with a Bachelor of Science in Biology in 2005.

Received Diploma from Southern Alberta Institute of Technology (Associates Degree) in Business Administration, Specialization in Finance in 2010.

Graduated from University of Calgary with a Masters of Business Administration-Finance in 2015.

**RECENT EMPLOYMENT HISTORY:**

- Financial Planning Associate, FCA Corp from 11/2022 to present
- Associate Advisor, Griffith & Associates Financial & Estate Planning Services in Calgary, Canada from 10/2020 to 5/2022
- Senior Financial Advisor, CIBC Bank Canada, from 9/2017 to 10/2020
- Premier relationship Manager, HSBC Bank Canada, from 1/2014 to 9/2017
- Premium Banker, HSBC Bank Canada, from 1/2012 to 1/2014

**ITEM 3. DISCIPLINARY INFORMATION:**

None

**ITEM 4. OTHER BUSINESS ACTIVITIES:**

None

**ITEM 5. ADDITIONAL COMPENSATION:**

None

**ITEM 6. SUPERVISION:**

Brandon William provides assistance to the financial planning team. The number of clients each team member is assigned will vary.

FCA has developed policies and procedures which are designed to standardize processes and internal controls for the company. Every employee is required to be responsible for preventing and reporting any activities inconsistent with the firm's Code of Ethics, procedures, policies or legal/regulatory requirements.

Brandon Williams is supervised by Christina Scharar Doherty, who can be reached at (713) 781-2856.